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EXAMINER

FABER, DAVID

ART UNIT

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PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/681,476	Applicant(s) ROTHMAN ET AL.	
	Examiner DAVID FABER	Art Unit 2178	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 17 July 2009.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,2,5-10,13-20,22-27,29-36,39-44 and 47-52 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1,2,5-10,13-20,22-27,29-36,39-44 and 47-52 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. This office action is in response to the amendment filed on 17 July 2009.

This office action is made Final.

2. Claims 1, 2, 5-10, 13-20, 22-27, 29-36, 39-44, and 47-52 have been amended.
3. Claims 3, 4, 11, 12, 21, 28, 37, 38, 45, and 46 have been cancelled.
4. The objection to the specification has been withdrawn by the persuasiveness of the Applicant's arguments (see response below). The rejection of Claims 17, 18, 19-25, 33-34, and 51-52 under 35 U.S.C. 101 has been withdrawn by the persuasiveness of the Applicant's arguments (see response below). The rejection of Claims 1-5, 9-13, 17-22, 26-29, 33-39, 43-47, and 51-52 under 35 U.S.C. 103(a) as being unpatentable over Landsman et al (US Patent 6,317,761, published 11/13/2001) in further view of Wen (US PGPub 2001/0047297, published 11/29/2001) has been withdrawn as necessitated by the amendment. The rejection of Claims 6-8, 14-16, 23-25, 30-32, 40-42, and 48-50 under 35 U.S.C. 103(a) as being unpatentable over Landsman et al in further view of Galomb (US PGPub 20010039510, published 11/8/2001) in further view of Wen has been withdrawn as necessitated by the amendment.
5. Claims 1, 2, 5-10, 13-20, 22-27, 29-36, 39-44, and 47-52 are pending. Claims 1, 9, 17, 18, 19, 26, 33, 34, 35, 43, 51, and 52 are independent claims.

Claim Rejections – 35 USC § 103

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

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(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 1,2, 5, 9-10, 13, 17-20, 22, 26-27, 29, 33-36, 39, 43-44, 47, and 51-52 are rejected under 35 U.S.C. 103(a) as being unpatentable over Landsman et al (US Patent 6,317,761, published 11/13/2001) in further view of Shaw et al (US PGPub 2001/0005855, published 6/28/2001)

As per independent claim 1, Landsman et al discloses a system/method comprising:

- receiving a creative definition; the creative definition being associated with a creative that is selectable by the advertising system (Column 3, lines 23-61; Column 17, lines 37-52)
- determining if the creative definition is a programmable or non-programmable creative definition; (Column 3, lines 45-61; Column 17, lines 37-52: Browser has the ability to determine if the embedded code is a banner or is a javascript program)
- responsive to the advertising system, assembling by the advertising system a non-programmable creative if the creative definition is a non-programmable creative definition; and (Column 3, lines 45-61: Discloses obtaining the graphic to be rendered)
- responsive to the advertising system, executing on the advertising system the programmable creative definition to generate the creative, if the creative

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definition is a programmable creative definition, the programmable creative definition to generate the creative; storing the creative; (Column 17, lines 37-Col 20, line 17: Discloses reading the advertising tag and executing the JavaScript code associated with the advertisement wherein the advertisement is stored at the server,)

However, Landsman et al fails to disclose performing the limitations on the server-side system. On the other hand, Landsman discloses a client/server architecture in a networked environment (Col 15, lines 48-51) wherein the server can be a separate software application which executes on any computer in the networked environment. (Column 15, lines 61-64) Therefore, It was well-known to one of ordinary skilled in the art at the time of Applicant's invention that the functionality of a client/server architecture is applicable and interchangeable between a client and a server since there is no real significant differences between the processing abilities of a client and a server. In addition, one of ordinary skill in the art would not see any reason why certain data processing techniques, once taught, cannot or should not be applied to either the client or server side of a system. Each side contains a data processing unit and techniques for one processing unit may very well be applicable to other data processing units; therefore, a program tailored to be executed on a client can also be executed on a server.

It would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to modified Landsman's method to perform on a server since it

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would have provided the benefit of taking advantage of server capabilities of management and distribution duties with other clients that a server provides to a client.

Furthermore, Landsman et al discloses wherein the step of executing the programmable creative definition to generate the creative includes the step of retrieving, responsive to the programmable creative definition, proprietary data from the server-side system. (Col 16, line 53 – Col 17, line 21, Column 21, lines 46-60: Discloses data (AdDescriptor file) capable of being streamed proprietary, thus the data is viewed as being proprietary data); however, fails to specifically disclose including at least a portion of the proprietary data in the creative; and as a function of scheduling by the advertising system and in response to a request from the client-side system: selecting the stored creative by the advertising system for transmission, and transmitting the selected creative through the electronic network from the publisher on a server-side system via the web server to the viewer on the client-side system.

On the other hand, Shaw et al discloses banner advertisements are in a proprietary format (The format is a part of the creative thus proprietary data is in the creative) (Paragraph 0098-0099) In addition, Shaw et al discloses scheduling by the advertising system and in response to a request from the client-side system: selecting the stored creative by the advertising system for transmission, and transmitting the selected creative through the electronic network from the publisher on a server-side system via the web server to the viewer on the client-side system. (0033-0034, 0048-0049, 0098, 0146, 00157: Discloses when the client makes a connection to the server system (a form of a request), eligible advertisements are transmitted to the client from

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the server wherein the eligible ads are determined based on scheduling information in which a scheduler decides which ads are eligible for distribution for each user (client) and when to distribute the ads.)

It would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to have modified Landsman's method with Shaw et al's method since Shaw et al's method would have provided the benefit of effectively transmitting the right advertisements targeted to the correct users during the correct times without substantially expending unnecessary resources.

As per dependent claim 2, Landsman et al discloses wherein the executing further includes periodically executing the programmable creative definition responsive to a request generated by the advertising system. (Col 17, lines 7-21, Column 18, lines 38-49: Discloses the advertising system generating a request to download the advertisement based on the URL provided, wherein an applet is then executed to download the advertisement)

As per dependent claim 5, Claim 5 recites similar limitations as in Claim 1, and is similarly rejected under rationale. However, based on the rejection of Claim 1, and the rationale incorporated, Shaw et al discloses the advertisement being transmitted to the server for transmitting the advertisement to the client (FIG 6; Paragraph 0146, 0181)

As per independent claim 9, Claim 9 recites similar limitations as in Claim 1 and is rejected under similar rationale. Furthermore, Landsman et al discloses a processor and memory. (Column 39, lines 39-45)

As per dependent claim 10, Claim 10 recites similar limitations as in Claim 2 and is rejected under similar rationale.

As per dependent claim 13, Claim 13 recites similar limitations as in Claim 5 and is rejected under similar rationale.

As per independent claim 17, Claim 17 recites similar limitations as in Claim 1 and is rejected under similar rationale.

As per independent claim 18, Claim 18 recites similar limitations as in Claim 1 and is rejected under similar rationale.

As per independent claim 19, Claim 19 recites similar limitations as in Claim 1 and is similarly rejected under rationale. Furthermore, Landsman et al discloses a method comprising:

- storing a first definition for a non-programmable creative; the first definition being associated with a creative that is selectable by the advertising system (Column 3, lines 23-61: Discloses embedding HTML code in a web page to generate a banner)
- storing a second definition for a programmable creative including a program for generating the programmable creative; the second definition being associated with a creative that is selectable by the advertising system (Column 17, lines 37-58: Discloses embedding a special HTML advertising tag that contains a URL to a Javascript program)
- responsive to the advertising system, executing on the advertising system, the first definition to generate a non-programmable creative; storing the non-

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- programmable creative; (Column 3, lines 45-61: When the HTML code is interpreted by the client's browser, it fetches and downloads the banner to the client's browser to be rendered to the client. The banner is stored on a server and in the client's browser when retrieved)
- responsive to the advertising system, executing on the advertising system the second definition to generate a programmable creative; storing the programmable creative (Column 17, lines 37- Col 20, line 17: Discloses reading the advertising tag and executing the JavaScript code associated with the advertisement, wherein the advertisement is stored at the server, or at the client's browser)

As per dependent claim 20, Landsman et al discloses executing the second definition to generate a programmable creative includes periodically executing the second definition to generate an updated programmable creative. (Col 20, lines 24-28; 40-49; 15-42)

As per dependent claim 22, Claim 22 recites similar limitations as in Claim 5 and is rejected under similar rationale.

As per independent claim 26, Claim 26 recites similar limitations as in Claim 19 and is rejected under similar rationale. Furthermore, Landsman et al discloses a processor and memory. (Column 39, lines 39-45)

As per dependent claim 27, Claim 27 recites similar limitations as in Claim 20 and is rejected under similar rationale.

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As per dependent claim 29, Claim 29 recites similar limitations as in Claim 5 and is rejected under similar rationale.

As per independent claim 33, Claim 33 recites similar limitations as in Claim 19 and is rejected under similar rationale.

As per independent claim 34, Claim 34 recites similar limitations as in Claim 19 and is rejected under similar rationale.

As per independent claim 35, Claim 35 recites similar limitations as in Claim 1 and is rejected under similar rationale.

As per dependent claim 36, Claim 36 recites similar limitations as in Claim 2 and is rejected under similar rationale.

As per dependent claim 37, Claim 37 recites similar limitations as in Claim 3 and is rejected under similar rationale.

As per dependent claim 38, Claim 38 recites similar limitations as in Claim 4 and is rejected under similar rationale.

As per dependent claim 39, Claim 39 recites similar limitations as in Claim 5 and is rejected under similar rationale.

As per independent claim 43, Claim 43 recites similar limitations as in Claim 1 and is rejected under similar rationale.

As per dependent claim 44, Claim 44 recites similar limitations as in Claim 2 and is rejected under similar rationale.

As per dependent claim 45, Claim 45 recites similar limitations as in Claim 3 and is rejected under similar rationale.

As per dependent claim 46, Claim 46 recites similar limitations as in Claim 4 and is rejected under similar rationale.

As per dependent claim 47, Claim 47 recites similar limitations as in Claim 5 and is rejected under similar rationale.

As per independent claim 51, Claim 51 recites similar limitations as in Claim 1 and is rejected under similar rationale.

As per independent claim 52, Claim 52 recites similar limitations as in Claim 1 and is rejected under similar rationale.

8. Claims 6-8, 14-16, 23-25, 30-32, 40-42, and 48-50 are rejected under 35 U.S.C. 103(a) as being unpatentable over Landsman et al (US Patent 6,317,761, published 11/13/2001) in further view of Shaw et al (US PGPub 2001/0005855, published 6/28/2001) in further view of Galomb (US PGPub 20010039510, published 11/8/2001)

As per dependent claims 6-8, Landsman et al discloses the ability for an advertiser to change or update any of its advertisements by just modifying appropriate media and AdDescriptor files that reside in the third-party advertising management system. (Column 13, lines 55-66) However, Landsman et al and Shaw et al fail to specifically disclose periodically changing text, an image, or a hyperlink within the creative. However, Galomb discloses advertisement includes text, images, and/or hyperlinks. (Paragraph 0004) Thus, in conjunction of Landsman et al and Wen with Galcomb, an advertiser would have the ability to change its advertisements by modifying the text, image or hyperlink associated with the advertisement.

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It would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to have modify Landsman et al and Shaw et al with Galomb's advertising system to enable since it would have provided the benefit of the need for advertisers to directly and instantly control the testing and optimizing of their advertisements.

As per dependent claims 14-16, Claims 14-16 recites similar limitations as in Claim 6-8 and is rejected under similar rationale.

As per dependent claims 23-25, Claims 23-25 recites similar limitations as in Claim 6-8 and is rejected under similar rationale.

As per dependent claims 30-32, Claims 30-32 recites similar limitations as in Claim 6-8 and is rejected under similar rationale.

As per dependent claims 40-42, Claims 40-42 recites similar limitations as in Claim 6-8 and is rejected under similar rationale.

As per dependent claims 48-50, Claims 48-50 recites similar limitations as in Claim 6-8 and is rejected under similar rationale.

Response to Arguments

9. Applicant's arguments with respect to claims 1, 2, 5-10, 13-20, 22-27, 29-36, 39-44, and 47-52 have been considered but are moot in view of the new ground(s) of rejection.

Arguments addressing in regards of the new limitations of Claims 1, 9, 17, 18, 19, 26, 33, 34, 35, 43, 51, and 52 brought forth in the amendment of the addition of the

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limitations "at least a portion of the proprietary data in the creative; and as a function of scheduling by the advertising system and in response to a request from the client-side system: selecting the stored creative by the advertising system for transmission, and transmitting the selected creative through the electronic network from the publisher on a server-side system via the web server to the viewer on the client-side system" has been viewed the new ground of rejection of 35 USC 103(a) under new references using Shaw et al.

10. Applicant's arguments, see pages 19-21, filed 17 July 2009, with respect to the specification and Claims 17, 18, 19-25, 33-34, and 51-52 have been fully considered and are persuasive.

a. The objection of the specification has been withdrawn since Applicant statements disclose the computer readable storage medium and memory are limited to only tangible manufactures, thus Applicant's statement create prosecution history estoppel.

b. The rejection of Claims 17, 18, 19-25, 33-34, and 51-52 have been withdrawn for the following reasons:

- Claim 17 recites a "system" comprising several "means for" performing various functions. In the Specification, the description indicates several hardware components: 1) a "conventional computer" (see Page 7, Line 1); 2) a personal computer, PDA and cell phone (see Page 7, Lines 13-14); 3) a "conventional storage system" for storing data (see Page 7, Line

3-4); and 4) a "conventional" web server (see Page 7, Lines 5-6).

Therefore, these hardware components would be part of the "means for" performing the various functions. Thus, the "system" of Claim 17 comprises hardware components and therefore recites statutory subject matter.

- Like Claim 17, Claims 33 and 51 also recite a "system" comprising several "means for" performing various functions. Thus, the "systems" of Claims 33 and 51 recite statutory subject matter, as explained above.
- Claim 19 recites a "method" comprising several steps. Thus, the "machine or transformation" test must be used to determine whether Claim 19 recites statutory subject matter. Based on the description of the present invention, the recited steps cannot be performed without a computer (i.e., a machine). Accordingly, Claim 19 recites statutory subject matter. Claims 20 and 22-25 depend upon Claim 19; therefore, Claims 20 and 22-25 also recite statutory subject matter.
- Claims 18, 13, and 52 recite a "program product" storing instructions for performing various functions. Thus, if the "program product" includes at least one computer hardware component, then Claims 18, 34 and 52 recite statutory subject matter. Because Claims 18, 34, and 52 expressly recite the "program product" stores the "instructions", it is interpreted the "program product" to necessarily comprise a hardware component (e.g.

the "advertising system processor" mentioned in the Specification) Under this interpretation, the claims recite statutory subject matter.

Conclusion

11. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to David Faber whose telephone number is 571-272-2751. The examiner can normally be reached on M-F from 9am to 6pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephen Hong, can be reached on 571-272-4124. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/David Faber/
Examiner, Art Unit 2178

	/CESAR B PAULA/ Primary Examiner, Art Unit 2178
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